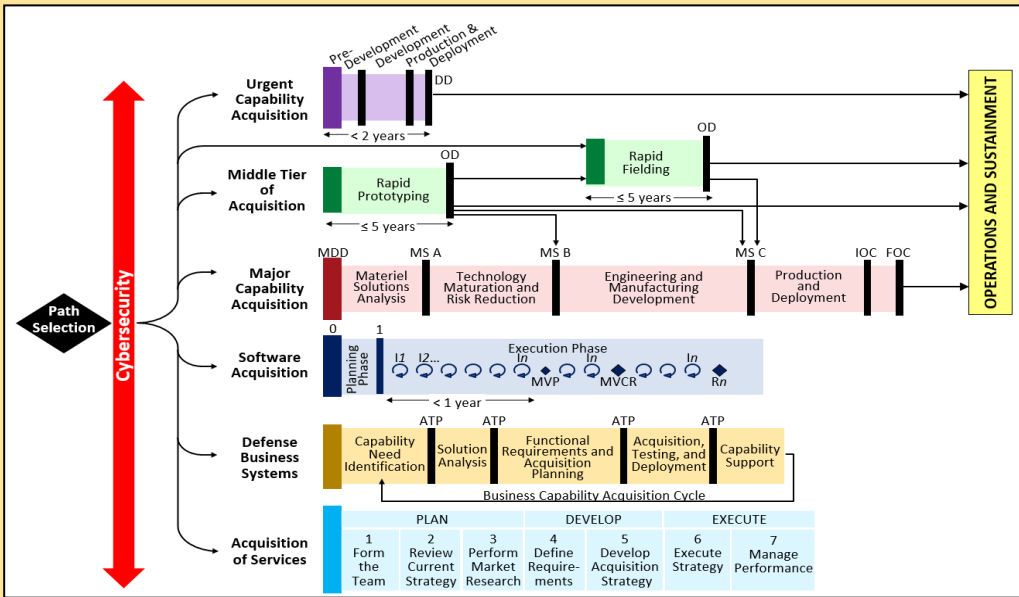


ADAPTIVE ACQUISITION FRAMEWORK (AAF)

'DON ACQUISITION GOLD CARD'



Tailoring In

ADAs will tailor their Acquisitions based on the characteristics of the capability being acquired to satisfy user requirements. Such as:

- Program strategies and oversight
- The timing and scope of decision reviews
- Phase content
- Decision levels

PMs, with the approval of ADAs, may leverage a combination of acquisition pathways to provide value not otherwise available through use of a single pathway. Along with other roles, PMs will need to define, manage, and coordinate the transition points from one pathway to another pathway to ensure requirements for both pathways are addressed and to ensure a smooth transition.

Acquisition Guides

(Look for these guides on DAU at <https://aaf.dau.edu/aaf/policies/>)

Prototyping
Mission Engineering
Cybersecurity T&E
Risk, Issue, and Opportunity
Other Transactions

Source Selection Procedures
Defense Acquisition Guidebook
Performance Based Logistics
Cloud Acquisition

Revised
August 2021
DASN (AP&B)
rdais.navy.mil
DAU Site
aaf.dau.edu/aaf/policies

	FY20\$ Thresholds		Timeframe	Purpose	Initiation Event	Program Start (Time Start)	Disposition, Exit, Transition
UCA	NTE	RDT&E	\$525M	≤ 2 Years	Provide capabilities to fulfill UON and other quick reaction capabilities.	DON UNP Initiation	(1) Terminate (2) Transition to MCA or O&S (3) Replaced by MCA
		Proc	\$3,065M				
MTA	ASN(RD&A) is ADA = ACAT I		≤ 5 Years	(1) Develop fieldable prototypes to demonstrate new capabilities. (2) Field production units with proven technologies that require minimum development.	Selected under a MBS Framework	Upon signature of the ADM by the ADA. An approved requirement document must be obtained prior to signature of the ADM.	(1) Transition to a new MTA phase (RP or RF) (2) Transition to a new/existing acquisition program (3) Transition to O&S (4) Terminate if MTA proven unsuccessful
MCA	ACAT I	RDT&E	> \$525M	N/A	Acquire and modernize military unique programs that provide enduring capability.	(1) ACAT: Approval of a capabilities document. (2) AAP: Approval of a capabilities document and have appropriate phase specific funding.	Upon entering the Engineering and Manufacturing Development Phase (Milestone B).
		Proc	> \$3,065M				
	ACAT II	RDT&E	> \$200M				
		Proc	> \$920M				
ACAT III / IV	RDT&E	≤ \$200M					
	Proc	≤ \$920M					
AAP	RDT&E	< \$28M					
	Proc	< \$69M					
SWP	ASN(RD&A) is ADA > \$500M (Total)		≤ 1 Year	Facilitate rapid and iterative delivery of developmental software capability.	Planning Phase Entrance with ADM justifying entry into SWP	When funds are first obligated to acquire or develop new software capability (applications or embedded), which should be supported by an issuance of ADM and readiness to develop and deliver operational software capability at least annually in Execution Phase.	(1) Absorbed by UCA, MTA or MCA (2) No longer delivers operational capability on an annual basis.
DBS	Across FYDP	BCAT I	> \$250M	N/A	(1) Acquire information systems that support DoD business operations, including "as-a-service" solutions. (2) May also be used to acquire non-developmental, software intensive programs that are not business systems.	BCRD approval	Upon entering Business Solution Analysis Phase (Phase 2).
		BCAT II	> \$50M				
		BCAT IIIa	> \$10M				
		BCAT IIIb	≤ \$10M				
SA	S-CAT I	Any 1 Year	≥ \$300M	N/A	To acquire services from the private sector including knowledge-based, construction, electronics and communications equipment, facilities, product support, logistics, medical, research and development, and transportation services.	The start of Services Acquisition Requirements Development	SRRB or SRRB-equivalent Approval
			≥ \$1,000M				
	S-CAT II	Total	≥ \$250M				
			≥ \$100M				
			≥ \$10M				
S-CAT IV	> \$10M						
S-CAT V	< \$10M						

Definitions

Urgent Capability Acquisition --- (UCA) [DoDI 5000.81](#) To field capabilities to fulfill urgent existing and/or emerging operation needs or quick reactions in less than 2 years.

Middle Tier of Acquisition ----- (MTA) [DoDI 5000.80](#) To rapidly develop fieldable prototypes within an acquisition program to demonstrate new capabilities and/or rapidly field production quantities of systems with proven technologies that require minimal development

Major Capability Acquisition ---- (MCA) [DoDI 5000.85](#) To acquire and modernize military unique programs that provide enduring capability.

Software Acquisition ----- (SWP) [DoDI 5000.87](#) To facilitate rapid and iterative delivery of software capability (e.g., software-intensive systems and/or software-intensive components or sub-systems) to the user.

Defense Business Systems ----- (DBS) [DoDI 5000.75](#) To acquire information systems that support DoD business operations. This pathway applies to defense business capabilities and their supporting business systems, including those with “as-a-service” solutions to include: Financial and financial data feeder; Contracting: Logistics; Planning and budgeting; Installations management; Human resources management; Training and readiness systems; and may also be used to acquire non-developmental, software intensive programs that are not business systems.

Acquisition of Services ----- (SA) [DoDI 5000.74](#) To acquire services from the private sector including knowledge-based, construction, electronics and communications equipment, facilities, product support, logistics, medical, research and development, and transportation service.

Acquisitions Websites

RDAIS2.0 – Research, Development and Acquisitions Information System
<https://rdais.navy.mil>

DAVE – Defense Acquisition Visibility Environment
<https://dave.acq.osd.mil/login>

DAU – Defense Acquisition University
<https://www.dau.edu>
– AAF Acquisition Policies and Guides
<https://aaf.dau.edu/aaf/policies>

Overarching Policies

[DoDD 5000.01](#) – The Defense Acquisition System

[DoDI 5000.02](#) – Operation of the Adaptive Acquisition Framework

[DoDI 5000.02T](#) – Operation of the Defense Acquisition System

[CJCSI 5123.01H](#) – Implementation of the JCIDS

[JCIDS Manual](#) – Joint Capabilities Integration & Development System

[DoDD 70745.14](#) – Planning, Programming, Budgeting and Execution Process

[DoD 7000.14-R](#) – DoD Financial Management Regulation

Service and Agency Acquisition Policies

[Air Force AFI 63-101](#) – Integrated Lifecycle Management

[Navy SECNAVI 5000.2F](#) – Defense Acquisition System and JCICS

[Army Pamphlet 70-3](#) – Army Acquisition Procedures

USSOCOM Directive 70-1 – Acquisition Management System Policy (update coming soon)

Functional Policies

[DoDI 5000.88](#) – Engineering of Defense Systems

[DoDI 5000.89](#) – Test and Evaluation (T&E)

[DoDI 5000.73](#) – Cost Analysis Guidance and Procedures

[DoDI 5010.44](#) – Intellectual Property

[DoDI 5000.82](#) – Acquisition of Information Technology

[DoDI 5000.86](#) – Acquisition Intelligence

[DoDI 5000.83](#) – Technology and Program Protection

[DoDI 5000.84](#) – Analysis of Alternatives

[DoDD 5000.71](#) – Rapid Fulfillment of UONs

[DoDI 5000.90](#) – Cybersecurity for Acquisition

[DoDI 5000.XX](#) – Human Systems Integration (coming soon)

[DoDI 5000.PS](#) – Product Support (coming soon)

Acronyms

AAP – Abbreviated Acquisition Program (MCA)

ACAT – Acquisition Category (MCA)

ADA – Acquisition Decision Authority

ADM – Acquisition Decision Memorandum

ASN (RD&A) – Assistant Secretary of the Navy for Research, Development & Acquisition

ATP – Acquisition Authority to Proceed (DBS)

BCAC – Business Capability Acquisition Cycle (DBS)

BCAT – Business Category (DBS)

BCRD – Business Capabilities Requirements Document (DBS)

CJCSI – Chairman of the Joint Chiefs of Staff Instruction

CNS – Capability Needs Statement (SWP)

DAU – Defense Acquisition University

DASN (AP&B) – Deputy ASN for Acquisition Policy & Budget

DD – Disposition Decision (UCA)

DepSecDef – Deputy Secretary of Defense

DoDI – Department of Defense Instruction

DoDD – Department of Defense Directive

DON – Department of the Navy

FY – Fiscal Year

FYDP – Future Years Defense Plan

FOC – Full Operational Capability (MCA)

IOC – Initial Operational Capability (MCA)

I – Iteration (SWP)

JCIDS – Joint Capabilities Integration & Development System

MBS – Merit Based Selection (MTA)

MS – Milestone (MCA)

MVCR – Minimum Viable Capability Release (SWP)

MVP – Minimum Viable Product (SWP)

NTE – Not to Exceed

O&S – Operations & Sustainment

OD – Outcome Determination (MTA)

Proc – Procurement

R – Release (SWP)

RAA – Rapid Acquisition Authority (UCA)

RDT&E – Research, Development, Testing & Evaluation

RF – Rapid Fielding (MTA)

RP – Rapid Prototyping (MTA)

SecDef – Secretary of Defense

SIG – Senior Integration Group (UCA)

SAT – Simplified Acquisition Threshold (SA)

S-CAT – Service Acquisition Category (SA)

SRRB – Services Requirements Review Board (SA)

UNP – Urgent Needs Process (UCA)

UON – Urgent Operational Needs (UCA)